

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Applicant(s): Schwarz et al.  
Serial No.: 09/607,827  
For: DEVICE AND METHOD FOR THE DETERMINATION OF THE QUALITY  
OF SURFACES  
Filed: June 30, 2000  
Examiner: Not Yet Assigned  
Art Unit: 2877

Attorney Docket: 548.0011USU

**Box MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Peter Schwarz and Uwe Sperling;
- ☐ Declaration Claiming Small Entity Status (Small Business Concern);
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : **BYK-Gardner GmbH**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☒ A Certified Copy of the Priority Application;
- ☐ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.

— Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$690.00
Total Claims	31 - 20 =	11	x \$18.00	\$198.00
Independent Claims	2 - 3 =	0	x \$78.00	\$0
Multiple Dependent Claim Fee		x \$260.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$888.00</b>		

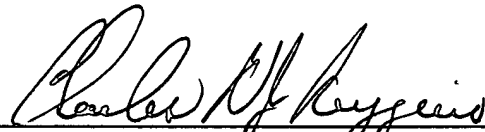
1/2 FILING FEE FOR SMALL ENTITY	\$
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☒ A check in the amount of **\$1058.00** to cover the surcharge (\$130) and filing fee (\$888.00) and Assignment recordation fee (\$40) is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

December 11, 2000

Date



Charles N.J. Ruggiero, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicants  
Registration No. 28,468  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON December 11, 2000.

Heather A. Fiorella  
NAME.

  
SIGNATURE

12/11/00  
DATE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,827	06/30/2000	Peter Schwarz	548.0011USU

Charles N J Ruggiero Esq  
Ohlandt Greeley Ruggiero & Perle LLP  
9th Floor  
One Landmark Square  
Stamford, CT 06901-2682

## FORMALITIES LETTER



\*OC000000005464545\*



Date Mailed: 10/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$198.
  - \$198 for 11 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

12/19/2000 SPENB001 00000045 010467 09607827

- The balance due by applicant is \$ 1018.

02 FD:101  
03 FD:103  
04 FD:103

710.00 DP  
130.00 DP  
178.00 DP  
20.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

